

**Audit & Governance Committee – DRAFT Forward Plan 2021/22**

REPORT	10 JUN 2021 (extra)	29 JUL 2021	9 SEP 2021 (extra)	28 OCT 2021	2 DEC 2021 (extra)	13 JAN 2022	17 MAR 2022 (extra)	14 APR 2022
<b>ANNUAL REPORTS</b>								
Statement of Accounts 2020/21		✓						
Annual Governance Statement 2020/21 and Annual Review of Local Code of Governance ( <sup>1</sup> update on Action Plan only)		✓						✓ <sub>1</sub>
Chief Internal Auditor's Annual Opinion Report 2020/21		✓						
Annual Breaches, Waivers & Exemptions Report 2020/21		✓						
Annual Review of Declarations of Interests & Receipts of Gifts & Hospitality by Officers		✓						
Use of Regulation of Investigatory Powers Annual Report 2020/21		✓						
Annual Report of Internal Audit Counter Fraud Work and Whistleblowing Referrals 2020/21				✓				
Local Government and Social Care Ombudsman Annual Report 2020/21				✓				
Equality & Diversity Annual Report 2020/21				✓				
Emergency Planning & Business Continuity Update				✓				
Health & Safety and Fire Safety Update				✓				
Treasury Management Strategy Refresh/Approval for next financial year						✓		
Assurance Framework & Internal Audit Planning Consultation						✓		
Information Governance Update						✓		
Internal Audit Charter & Audit Plan for next financial year								✓
<b>QUARTERLY / HALF YEARLY REPORTS</b>								
Internal Audit - Quarterly Audit Plan Update		✓		✓		✓		✓
Risk Management – Corporate Risk Register Update		✓		✓		✓		✓
Forward Plan (refresh)		✓		✓		✓		✓
Treasury Management Monitoring Report ( <sup>2</sup> inc quarter 4 for previous year)		✓ <sub>2</sub>		✓		✓		
<b>AD HOC / OTHER REPORTS</b>								
Whistleblowing, Anti Fraud and Corruption, and Declaration of Interests, Gifts & Hospitality Policies - annual evolution for 2022/23.							✓	
Financial Regulations - annual evolution for 2022/23.							✓	
Financial Statements 2020/21: Review of Significant Judgements and Sources of Estimation Uncertainty	✓							

Changes to Council Constitution		✓			✓			
<b>PRESENTATIONS</b> (rather than formal reports)								
Review of BH Live contractual and governance arrangements. ( <sub>3</sub> timing subject to agreement based on external factors)		✓ <sub>3</sub>						
<b>EXTERNAL AUDITS REPORTS</b>								
External Auditor – Audit Plan 2020/21 ( <sub>4</sub> <i>Audit Plan 2021/22</i> )		✓						✓ <sub>4</sub>
External Auditor – Audit Findings Report 2020/21		✓						
External Auditor – Annual Audit Letter 2020/21				✓				
External Auditor – Certification of Claims and Returns 2020/21						✓		
External Auditor – Annual Audit Fee 2021/22						✓		
External Auditor – Audit Progress & Sector Update		✓		✓		✓		✓